

07-02-01

11840 U.S. PTO
09/895791
06/29/01

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 41994/MJM/C766
Inventor(s) : RICHARD C. FLAGAN, HARRY A. ATWATER
MICHELE L. OSTRAAT
Title : AEROSOL PROCESS FOR FABRICATING DISCONTINUOUS
FLOATING GATE MICROELECTRONIC DEVICES
Express Mail Label No. : EL483650603US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: June 29, 2001

1. ☒ **FEE TRANSMITTAL FORM** *(Submit an original, and a duplicate for fee processing).*

2. **IF A CONTINUING APPLICATION**

_____ This application is a _____ of patent application No. _____

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/215,390, filed June 29, 2000; provisional Application No. 60/215,400, filed June 29, 2000.

3. **APPLICATION COMPRISED OF**

Specification

30 Specification, claims and Abstract (total pages)

Drawings

9 Sheets of formal drawing(s) (FIGS. 1 to 17)

Declaration and Power of Attorney

_____ Newly executed

☒ Unexecuted declaration

_____ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. _____ **Microfiche Computer Program** *(Appendix)*

5. _____ **Nucleotide and/or Amino Acid Sequence Submission** *(if applicable, all necessary)*

_____ Computer Readable Copy

_____ Paper Copy (identical to computer copy)

_____ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

☒ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

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7. ALSO ENCLOSED ARE

- ☐ Preliminary Amendment
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Mark J. Marcelli
Reg. No. 36,593
626/795-9900

MJM/rlm

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: June 29, 2001

Docket No. : 41994/MJM/C766
Inventor(s) : RICHARD C. FLAGAN, HARRY A. ATWATER & MICHELE L. OSTRAT
Title : AEROSOL PROCESS FOR FABRICATING DISCONTINUOUS FLOATING
GATE MICROELECTRONIC DEVICES Duplicate __

FEE DETERMINATION

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	44 - 20	24	24 x \$9.00	x \$18.00	\$216.00
INDEPENDENT CLAIMS	4 - 3	1	1 x \$40.00	x \$80.00	\$40.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$135.00	\$270.00	
BASIC FEE			\$355.00	\$710.00	\$355.00
TOTAL FILING FEE					\$611.00
List Independent Claims: 1,36, 40 and 44					

METHOD OF PAYMENT

- ☒ No filing fee enclosed
☒ No Deposit Account Authorization.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Mark J. Marcelli
Mark J. Marcelli
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626/795-9900

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